

PATENT

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May 15, 2001  
Date

  
Sandy Reisman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : C. Andrew Neff  
Application No. : 09/534,836 Confirmation No.: 2620  
Filed : March 24, 2000  
For : METHOD, ARTICLE AND APPARATUS FOR  
REGISTERING REGISTRANTS, SUCH AS VOTER  
REGISTRANTS

Art Unit : 2766  
Docket No. : 32462-8004US  
Date : May 15, 2001

Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL OF SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

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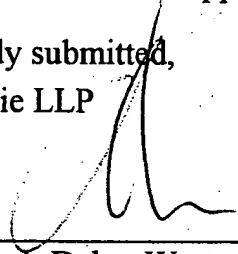
Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08A (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information of which he is aware that is believed relevant to the patentability of the above-identified

application, applicant believes that his invention is patentable. As to any document supplied, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to antedate any such document, as by a showing under 35 C.F.R. § 1.131 or other method.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Perkins Coie LLP



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Christopher J. Daley-Watson  
Registration No. 34,807

CJD:SBR

Enclosures:

Postcard

Form PTO/SB/08A

Cited References (6)

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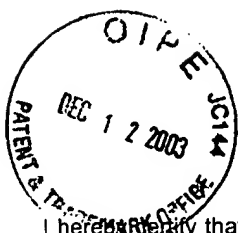
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PTO/SB/08A

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary)  |             |  |                         |  | <b>COMPLETE IF KNOWN</b>                           |   |   |   |   |
|---|-------------|--|-------------------------|--|--|---|---|---|---|
|   |             |  |                         |  | Application Number                                 |   | 09/534,836  |   |   |
|   |             |  |                         |  | Confirmation Number                                |   | 2620  |   |   |
|   |             |  |                         |  | Filing Date  |   | March 24, 2000  |   |   |
|   |             |  |                         |  | First Named Inventor                               |   | C. Andrew Neff  |   |   |
|   |             |  |                         |  | Group Art Unit                                     |   | 2766  |   |   |
| Examiner Name   |             |  |                         |  |  |   |   |   |   |
| Attorney Docket No.   |             | 32462-8004US   |                         |  |  |   |   |   |   |
| <b>U.S. PATENT DOCUMENTS</b>  |             |  |                         |  |  |   |   |   |   |
| *EXAMINER<br>INITIALS   | Cite<br>No. | U.S. Patent Document   |                         | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of Cited<br>Document           | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear |   |   |   |
|   |             | NUMBER   | Kind Code<br>(if known) |  |  |   |   |   |   |
|   | AA          | 5,717,759  |                         | Micali   | 2/10/98  |   |   |   |   |
|   | AB          | 5,864,667  |                         | Barkan   | 1/26/99  |   |   |   |   |
|   | AC          |  |                         |  |  |   |   |   |   |
|   | AD          |  |                         |  |  |   |   |   |   |
|   | AE          |  |                         |  |  |   |   |   |   |
|   | AF          |  |                         |  |  |   |   |   |   |
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|   | AI          |  |                         |  |  |   |   |   |   |
|   | AJ          |  |                         |  |  |   |   |   |   |
| <b>FOREIGN PATENT DOCUMENTS</b>   |             |  |                         |  |  |   |   |   |   |
| *EXAMINER<br>INITIALS   | Cite<br>No. | Foreign Patent Document  |                         |  | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document  | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T |   |
|   |             | Office   | Number                  | Kind Code<br>(if known)                            |  |   |   |   |   |
|   | AK          | WO   | 98/14921                |  | Certco, LLC  | 4/9/98  |   |   |   |
|   | AL          |  |                         |  |  |   |   |   |   |
|   | AM          |  |                         |  |  |   |   |   |   |
|   | AN          |  |                         |  |  |   |   |   |   |
|   | AO          |  |                         |  |  |   |   |   |   |
| <b>OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS</b>  |             |  |                         |  |  |   |   |   |   |
| *EXAMINER<br>INITIALS   | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume, issue number(s), publisher, city and/or country where published. |                         |  |  |   |   |   | T |
|   | AP          | Borrell, Joan et al., "An implementable secure voting scheme", <i>Computers &amp; Security</i> , Elsevier Science, Ltd., Great Britain, 1996, Vol. 15, No. 4, pp. 327-338  |                         |  |  |   |   |   |   |
|   | AQ          | Cranor, Lorrie et al., "Sensus: A Security-Conscious Electronic Polling System for the Internet", <i>Proceedings of the Hawaii International Conference on System Sciences</i> , IEEE 1997, pp. 561-570  |                         |  |  |   |   |   |   |
|   | AR          | Mu, Yi et al., "Anonymous Secure E-Voting over a Network", <i>Proceedings, Annual Computer Security Applications Conference</i> , IEEE 1998, pp. 293-299   |                         |  |  |   |   |   |   |
| EXAMINER  |             |  |                         |  | DATE CONSIDERED                                    |   |   |   |   |
| * EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s). |             |  |                         |  |  |   |   |   |   |

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Attorney Docket No. 324628004US

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: June 26, 2003

By:

Sandy Reisman

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: C. ANDREW NEFF

APPLICATION No.: 09/534,836

FILED: MARCH 24, 2000

FOR: **METHOD, ARTICLE AND APPARATUS  
FOR REGISTERING REGISTRANTS, SUCH  
AS VOTER REGISTRANTS**

EXAMINER: FIRMIN BACKER

ART UNIT: 3621

CONF. No: 2620

**Sixth Supplemental Information Disclosure Statement After First  
Office Action But Before Final Action or Notice of Allowance -  
37 C.F.R. § 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

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- ☐ Copies of the following references can be found in parent U.S. Application No. :
- ☐ All cited references
  - ☐ References marked by asterisks
  - ☐ The following:
- ☐ The following references are not in English. For each such reference, the undersigned has enclosed: (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference; (iii) a copy of a reference which appears to be an English-language counterpart; or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.
- ☐ All cited references
  - ☐ References marked by ampersands
  - ☐ The following:

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))

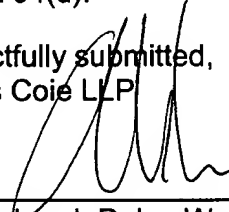
- ☐ Applicant elects to pay the fee under 37 C.F.R. § 1.17(p) \$180.00.
- ☐ Check enclosed for \$
  - ☐ Please charge the above fee(s) to Deposit Account No. 50-0665 this paper is provided in triplicate.
- ☒ Applicant submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e) (check only one):
- ☒ In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing of this statement; or

- ☐ In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement.
- ☒ Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 C.F.R. § 1.704(d))

- ☒ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,  
Perkins Coie LLP

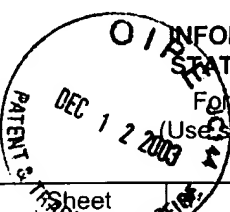


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|   |  |  |  | Application Number   | 09/534,836     |
|   |  |  |  | Confirmation Number  | 2620           |
|   |  |  |  | Filing Date          | March 24, 2000 |
|   |  |  |  | First Named Inventor | C. Andrew Neff |
|   |  |  |  | Group Art Unit       | 3621           |
|   |  |  |  | Examiner Name        | Firmin Backer  |
| Sheet 1 of 1  |  |  |  | Attorney Docket No.  | 324628004US    |

| U.S. PATENT DOCUMENTS |          |                            |                      |  |  |  |
|-----------------------|----------|----------------------------|----------------------|--|--|--|
| Examiner Initials     | Cite No. | U.S. Patent or Application |                      | Name of Patentee or Inventor of Cited Document | Date of Publication or Filing Date of Cited Document | Pages, Columns, Lines, Where Relevant Figures Appear |
|                       |          | NUMBER                     | Kind Code (if known) |  |  |  |
|                       |          | 4,774,665                  |                      | Webb   | 9/27/88  |  |
|                       |          | 5,610,383                  |                      | Chumbley                                       | 3/11/97  |  |
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|                       |          |                            |                      |  |  |  |
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|                       |          |                            |                      |  |  |  |
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| FOREIGN PATENT DOCUMENTS |          |                               |        |                      |   |  |  |   |
|--------------------------|----------|-------------------------------|--------|----------------------|---|--|--|---|
| Examiner Initial         | Cite No. | Foreign Patent or Application |        |                      | Name of Patentee or Applicant of Cited Document | Date of Publication or Filing Date of Cited Document | Pages, Columns, Lines, Where Relevant Figures Appear | T |
|                          |          | Office                        | NUMBER | Kind Code (if known) |   |  |  |   |
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| Examiner Initials                               | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published. | T |
|   |          | International Preliminary Examination Report, International Application No. PCT/US01/43962, 6/3/03, 4 pages   |   |
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